

compliance with the provisions of U.S.C. 552a (e)(4)(G).

RECORD ACCESS PROCEDURES:

The Director of the Bureau of Alcohol, Tobacco and Firearms has determined this system of records to be exempt from compliance with the provisions of 5 U.S.C. 552a (e)(4)(H).

CONTESTING RECORD PROCEDURES:

See "Record access procedures" above.

RECORD SOURCE CATEGORIES:

(1) Individuals. (2) Companies. (3) Corporations. (4) Firearms Licensees. (5) Explosive Licensees. (6) Explosive Permittees. (7) Bureau personnel. (8) Federal law enforcement agencies. (9) State law enforcement agencies. (10) Local law enforcement agencies. (11) Foreign law enforcement agencies. (12) Federal Regulatory agencies. (13) State Regulatory agencies. (14) Local Regulatory agencies. (15) Non-Bureau Chemists.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

Exempt under 5 U.S.C. 552a (k)(2) and 31 CFR 1.36.

Billing code: 4810-31-F

Comptroller of the Currency

Treasury/Comptroller .004

SYSTEM NAME:

Consumer Complaint and Inquiry Information System (CCIS)—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219. Components of the CCIS are geographically dispersed through— out six (6) district offices. (See addresses listed in Appendix of OCC District Offices.)

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Persons who file complaints against banks either directly or through second parties, e.g. attorneys, Congressional representatives or others who make inquiries about banks or banking issues.

CATEGORIES OF RECORDS IN THE SYSTEM:

The records are maintained in three general categories: Correspondence; computer tapes; and hard copies of computer generated reports. Data contained in these records are: The name and address of the writer or their representative; the name and charter number of bank; the date of incoming letter; the date letter received by the OCC; numerical codes identifying the

nature of the complaint, the source of the complaint, and the type of resolution; identification of the district and the person assigned to examine the complaint; the resolution date; and, if appropriate, the amount of reimbursement.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 1, et.seq., 5 U.S.C. 301, 15 U.S.C. 41 et.seq.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Hard copy computerized reports are used to monitor the system, provide data for the Annual Report to Congress and inquiries from other agencies, public and private. Redacted copies of the files may be used in response to Congressional inquiries on specific subjects.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records in active use are stored in file cabinets in the processing offices. Resolved complaints and inquiries are stored in the office having primary responsibility for the bank, generally the field office. Complaints regarding banks with over one billion dollars in assets are stored in the appropriate district office. Complaints which appeal the district office's resolution and complaints and inquiries received from Congressional sources are stored in Central Files in the Washington Office or in the Congressional Liaison office. Active computer tapes are secured in the Information Systems and Technology Division and the computerized reports are stored in file cabinets.

RETRIEVABILITY:

Correspondence records are retrieved from the appropriate office using the bank and complainant's or Congressman's or Senator's name. Other records are retrieved using the complainant's or inquirer's name or the identification number assigned to the correspondence.

SAFEGUARDS:

Access to locations where active complaint and inquiry files are located is controlled. Computer tapes are also located in a controlled access location. Inactive files are located in locked file rooms.

RETENTION AND DISPOSAL:

(a) Consumer complaint correspondence generating administrative action is part of the

permanent bank record set that is retained at OCC for three calendar years, then transferred to Washington National Records Center (WNRC) and offered to National Archives and Records Administration when 30 years old. (b) Consumer complaints and inquiries of a routine nature are held for two years, then may be transferred to WNRC, and destroyed when five years old. (c) Computer tapes and one record copy of reports are retained in OCC for three years, then transferred to WNRC, and offered to NARA when 20 years old.

SYSTEM MANAGER(S) AND ADDRESS:

Compliance Management, Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals who wish to be notified if they are named in the system or to gain access to records maintained in the system may write or call the Director of Compliance Management to obtain this information. They must provide their name, address, name of subject bank, complaint identification number, if available, and the approximate date. The requesting individual will only be provided an affirmative or negative answer. If additional information is requested, procedures under access must be followed.

RECORD ACCESS PROCEDURES:

Access to a record can be requested by writing to the Disclosure Officer, Communications Division, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Decisions by the district offices can be appealed to the Director of Compliance Management. Such an appeal is the final level of administrative action available to persons filing complaints.

RECORD SOURCE CATEGORIES:

The initial source is from the person filing a complaint against a national bank or the person making an inquiry about a bank or banking issue. Subsequent sources are from bank correspondence, bank files, or from reports based on on-site investigations.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .012

SYSTEM NAME:

Freedom of Information Index and Log—Treasury/Comptroller.

SYSTEM LOCATION:

Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Persons making requests under the Freedom of Information Act (FOIA).

CATEGORIES OF RECORDS IN THE SYSTEM:

List of names of persons making requests under the Freedom of Information Act.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 1 and 12 U.S.C. 9, 5 U.S.C. 301.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Any party within or outside of the office of the Comptroller of the Currency or the Department of Treasury who wishes to review the Freedom of Information Act request log may do so by contacting or writing to the Director of Communications.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

Magnetic media.

RETRIEVABILITY:

Log is arranged sequentially by case number of a Freedom of Information Act request. Log entries can be sorted by name of requester.

SAFEGUARDS:

Unlocked file cabinet.

RETENTION AND DISPOSAL:

Records are updated periodically to reflect changes and are maintained as long as needed.

SYSTEM MANAGER(S) AND ADDRESS:

Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency Office where the record might be stored; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, date(s) of employment or similar information).

RECORD ACCESS PROCEDURES:

Persons wishing to review the FOIA log/index should contact the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Submit requests to the Disclosure Officer, Communications at the above address.

RECORD SOURCE CATEGORIES:

Persons making requests under Freedom of Information Act.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .013**SYSTEM NAME:**

Enforcement and Compliance Information System—Treasury/Comptroller.

SYSTEM LOCATION:

Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals and entities involved in actual or suspected fraudulent activities, or other violations of law, and bank directors, officers and shareholders named in administrative enforcement actions, actual or potential witnesses in investigations and enforcement actions.

CATEGORIES OF RECORDS IN THE SYSTEM:

These records contain information identifying the individual, describing the known or suspected criminal activity or violation of law enforcement action in which the individual is involved or named, giving the bank name and location, and where applicable, the law enforcement agency to which referred and date referred, indicating if a grand jury subpoena has been issued, indicating other actions and identifying the OCC attorney. These records also include evidentiary material, transcripts of testimony, documents prepared for administrative or court proceedings, correspondence and staff memoranda.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 481, 1817(j), 1818, and 1820; 15 U.S.C. 78c(a)(34), 78l(l), 78u, 78o-4

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Information in these records may be used to: (1) Provide the Department of Justice with periodic reports which indicate the number, place and individual identity of outstanding potential criminal violations of the law which have been referred to the Department; (2) provide the Office of the Comptroller of the Currency with statistical information to respond to general information requests from the

Congress; (3) disclose information to foreign governments in accordance with formal or informal international agreements; (4) disclose information to the news media in accordance with guidelines contained in 28 CFR 50.2 which covers release of information relating to civil and criminal proceedings; (5) disclose information to third parties during the course of an investigation to the extent necessary to obtain information pertinent to the investigation; (6) provide information or records to any other appropriate domestic or foreign governmental agency or self-regulatory organization charged with the responsibility of administering law or investigating or prosecuting violations of law or charged with enforcing or implementing a statute, rule, regulation, order or license; (7) disclose information to a court, magistrate, or administrative tribunal, including disclosure to opposing counsel or potential or actual witnesses in the course of discovery, in litigation or settlement negotiations, or in response to a subpoena, where relevant or potentially relevant to the processing; (8) disclose information of Federally insured financial institutions in connection with such institutions' hiring or retention of an employee, when considered appropriate; (9) disclose, when considered, appropriate, information to a bar association, the American Institute of Certified Public Accountants, or other professional organization performing similar functions, for possible disciplinary action; (10) disclose information to members of Congress in response to an inquiry made at the request of the individual to whom the record pertains; (11) disclose information to any person with whom the Comptroller contracts to reproduce, by typing, photocopying or other means, any record within this system for use by the Comptroller and its staff in connection with their official duties or to any person who is utilized by the Comptroller to perform clerical or stenographic functions relating to the official business of the Comptroller.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

Records will be maintained in general correspondence files, enforcement actions and investigatory files, criminal referral files, in card files and on computer disks.

RETRIEVABILITY:

Some records will be indexed by bank name and location. In addition, records on computer discs or in enforcement

action, investigatory, or criminal referral files will be indexed by name of individual. Records on computer discs will also be indexed by code provision known or suspected to be violated.

SAFEGUARDS:

Correspondence files are stored in the Office's Central File room and may only be retrieved by authorized personnel. Enforcement, investigation, and criminal referral files are stored in lockable file cabinets and accessible only to authorized personnel. Card files will be stored in lockable file cabinets. Computer discs will be accessible only to authorized personnel.

RETENTION AND DISPOSAL:

Records are periodically updated to reflect changes and maintained as long as needed.

SYSTEM MANAGER(S) AND ADDRESS:

Director, Enforcement and Compliance Division, and Director, Securities and Corporate Practices Division, Law Department, Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Certain records in this system are exempt from notification requirements under 5 U.S.C. 552a (k)(2) of the Act as relating to investigatory material compiled for law enforcement purposes. Requests relating to records not subject to the exemption should contain the following elements: (1) Identity of the records system; (2) identity of the category and type of records sought; (3) the location of the Comptroller of the Currency office where the record might be stored; and (4) at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

RECORD ACCESS PROCEDURES:

Submit requests to the Disclosure Officer, Communications Division, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Submit requests to the Disclosure Officer, Communications Division, 250 E Street, SW, Washington, DC 20219.

RECORD SOURCE CATEGORIES:

Examinations of national banks by national bank examiners; investigations performed by agency attorneys and notifications from the Department of Justice, other Federal and State law enforcement agencies, and other Federal and State bank regulatory agencies; filings made with the Office of the Comptroller of the Currency pursuant to law; communications and documents

received by the agency in the course of its bank supervisory activities.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

Components of this system have been designated as exempt from 5 U.S.C. 552a (j)(4). To the extent that the exemption under 5 U.S.C. 552a (j)(4) does not apply, the exemption under 5 U.S.C. 552a (k)(2) applies.

Treasury/Comptroller .014

SYSTEM NAME:

Personnel Security/Investigation Files/Employee/Applicant Reference File—Treasury/Comptroller.

SYSTEM LOCATION:

Comptroller of the Currency, Human Resources Division, 250 E Street, SW, Washington, DC 20219. Components of this system are geographically dispersed throughout six (6) district offices. Contact District Offices for addresses of field offices within their jurisdiction. (See addresses listed in Appendix of OCC District Offices.)

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Applicants for employment with and employees of the Comptroller of the Currency.

CATEGORIES OF RECORDS IN THE SYSTEM:

Compilation of material for a determination regarding employability and/or Security Clearance.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 1 and 9; 5 U.S.C. 301; and EO 10450 and 12356.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

Disclosures are not made outside the Department.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Locked steel file cabinets located in a room secured with a key lock.

RETRIEVABILITY:

Filed in alphabetical order.

SAFEGUARDS:

Locked Steel file cabinets located in a room secured with a key lock. Only the security officer within the Human Resources area have access.

RETENTION AND DISPOSAL:

Materials are retained for three months after employee leaves and the records are disposed by shredding.

SYSTEM MANAGER(S) AND ADDRESS:

Deputy Comptroller for Resource Management, Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

RECORD ACCESS PROCEDURES:

Contact the Disclosure Officer, Communications Division, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Submit requests to the Disclosure Officer, Communications Division, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

RECORD SOURCE CATEGORIES:

The information contained in these records is provided by subject of the record—employers, co-workers, friends, neighbors and associates, and finances.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

Portions of this system have been designated as exempt from certain provisions of the Privacy Act pursuant to 5 U.S.C. 552a (k)(5).

Treasury/Comptroller .015

SYSTEM NAME:

Chain Banking Organizations System—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219; 1114 Avenue of the Americas, Suite 3900, New York, NY 10036; Marquis One Tower, Suite 600, 245 Peachtree Center Avenue N.E., Atlanta, GA 30303; One Financial Place, Suite 2700, 440 South LaSalle Street, Chicago, IL 60605; 2345 Grand Avenue, Suite 700, Kansas City, MO 64108; 1600 Lincoln Plaza, 500 North Akard, Dallas, TX 75201; 50 Fremont Street, Suite 3900, San Francisco, CA 94105.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who directly, indirectly, or in concert with others, own and/or control a chain banking organization.

CATEGORIES OF RECORDS IN THE SYSTEM:

The Chain Banking Organizations System consists of word processing and microprocessing programs which perform editing, updating and reporting functions on two types of data: (1) Name of an individual (or group of individuals acting in concert) that owns or controls a chain banking organization and (2) information concerning individual chain banks, such as; name, location, charter number, charter type, date of last examination, percentage of outstanding stock owned by controlling individual or group and, if applicable, name of intermediate holding entity and percentage of it held by controlling individual or group.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 1, 12 U.S.C. 481, 12 U.S.C. 1817(j).

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSE OF SUCH USES:

The System may be used to: (1) Disclose information about specific chain banking organizations to other financial institution supervisory authorities for: (a) Coordination of examining resources when the chain banking organization is composed of banks or financial institutions subject to multiple supervisory jurisdiction; (b) coordination of evaluations and analysis of the condition of the consolidated chain group; (c) coordination of supervisory, corrective or enforcement actions. (2) Disclose information to the extent provided by law or regulation and as necessary to report any apparent violations of law to appropriate law enforcement agencies. (3) Disclose pertinent information to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violations of, or for enforcing or implementing, a statute, rule, regulation, order, or license, where the disclosing agency becomes aware of an indication of a violation or potential violation of civil or criminal law or regulation. (4) Disclose information to a Federal, State, or local agency, maintaining civil, criminal or other relevant enforcement information or other pertinent information, which has requested information relevant to or necessary to the requesting agency's or the bureau's hiring or retention of an individual, or issuance of a security clearance, license, contract, grant, or other benefit. (5) Disclose information to a court, magistrate, or administrative tribunal in the course of presenting evidence, including disclosures to opposing counsel or witnesses in the course of civil discovery, litigation, or

settlement negotiations, in response to a subpoena, or in connection with criminal law proceedings. (6) Provide information to a congressional office in response to an inquiry made at the request of the individual to whom the record pertains.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

These records are maintained in a computer data base and on computer floppy disks or hard copy printouts stored in file cabinets.

RETRIEVABILITY:

All retrievable records are indexed by district location, state, alphabetically by surname of the individual(s) and/or entity controlling the chain banking organization.

SAFEGUARDS:

Access to records in electronic storage systems is restricted by user identification procedures and passwords which limit access to authorized employees of the Office. Computer disks and hard copy printouts will be stored in locked file cabinets when the Office is vacant.

RETENTION AND DISPOSAL:

Records are generally maintained in electronic storage disks in an off-line capacity until needed. Certain records are archived in off-line storage. All records, including those in printout form, are periodically updated to reflect changes and maintained as long as needed.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Deputy Comptroller for Bank Supervision Operations, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20019. District Offices – The Deputy Comptroller for each District is responsible for assuring the accuracy and routine maintenance of that portion of the System applicable to the district.

NOTIFICATION PROCEDURE:

Individuals who wish to be notified if they are named in the system shall submit a signed, written request to the appropriate District Office (or to the System Manager at the address listed). The request must contain: (1) The requestor's name and address; (2) the name of the system of records; and (3) the name and location of the bank(s) which they control individually or in concert with others. In order to avoid excessive delay and unneeded correspondence, individuals requesting notification should simultaneously

request access to records pertaining to them.

RECORD ACCESS PROCEDURES:

Portions of this system of records may be accessed under the Privacy Act for purposes of inspection by identified controlling individuals for the express purpose of verifying that their relationships are accurately recorded.

CONTESTING RECORD PROCEDURES:

Individuals who wish to contest a record in the system must submit a signed written request to the Senior Deputy Comptroller for Bank Supervision Operations, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

The requests should contain: (1) The name and address of the individual contesting the record; (2) the name of the system of records; (3) the name and location of the chain bank(s) which they reportedly control individually or in concert with others; and (4) the specific information being contested and the reason for contesting (it is believed to be inaccurate, irrelevant, incomplete, etc.).

RECORD SOURCE CATEGORIES:

Information that identifies chain banking groups primarily is gathered from: (1) Examination reports and related materials; (2) regulatory filings; and (3) Change in Bank Control Notices filed pursuant to 12 U.S.C. 1817 (j).

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .016**SYSTEM NAME:**

Litigation Information System (LIS)—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

LIS covers: (a) Individuals who file administrative or judicial claims against the OCC and/or officials or employees of the OCC in their capacity as such, against whom the OCC files claims, or who are parties to claims in which the OCC participates, but is not a party; (b) All other individuals, including actual or potential witnesses, involved in litigation brought by or against the OCC or in which the OCC participates as a nonparty; (c) persons submitting requests for testimony and/or production of documents pursuant to 12 CFR 4.19.

CATEGORIES OF RECORDS IN THE SYSTEM:

All records generated in connection with the litigation including evidentiary material, transcripts of testimony, documents prepared for administrative or judicial proceedings, correspondence, requests for information pursuant to 12 CFR 4.19, and staff memoranda.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 1 and 481; 15 U.S.C. 5578c(a)(34), 781(l), 78o-4.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

The information contained in these records may be used to: (1) Provide the Department of Justice with pleadings, memoranda, correspondence, litigation reports, and other documents that will assist it in the preparation of litigation involving the OCC; (2) disclose information to the news media in accordance with guidelines contained in 28 CFR 50.2, which covers the release of information relating to civil and criminal proceedings; (3) disclose information to a court, magistrate, or administrative tribunal in the course of presenting evidence, including disclosure to opposing counsel or potential or actual witnesses in the course of discovery, in litigation or settlement negotiations, or in response to a subpoena, where relevant or potentially relevant to the proceeding; (4) provide information or records to any other appropriate domestic or foreign governmental agency or self-regulatory organization charged with the responsibility of administering law or investigating or prosecuting violations of law or charged with enforcing or implementing statutes, rules, regulations or orders pursuant thereto; (5) disclose information to bank and consumer groups; (6) disclose information to any person with whom the OCC contracts to reproduce, by typing, photocopy or other means, any record within the system of records for use by the OCC and its staff in connection with their official duties or to any person who is utilized by the OCC to perform clerical or stenographic functions relating to the official business of the OCC.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

Records comprising this system are maintained in file folders kept in lockable file cabinets.

RETRIEVABILITY:

Access to the records is by name of the individual litigant(s).

SAFEGUARDS:

Records are accessible only to authorized personnel.

RETENTION AND DISPOSAL:

Records are updated periodically to reflect changes and are maintained as long as needed.

SYSTEM MANAGER(S) AND ADDRESS:

Director, Litigation Division, Law Department, Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in the system or to gain access to records maintained in the system must submit a request containing the following elements: (1) Identity of the record system; (2) identity of the category and type of records sought; (3) the location of the Comptroller of the Currency office where the record might be stored; and (4) at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

RECORD ACCESS PROCEDURES:

Contact the Disclosure Officer, Communications Division, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Submit comments to the Disclosure Officer, Communications Division, Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

RECORD SOURCE CATEGORIES:

Individuals who file claims against or otherwise are involved in legal proceedings with the OCC and/or an official or employee thereof in his or her capacity as such; communications and documents generated or received by the OCC, its officials or employees in the course of the legal proceedings; applications and other filings made with the OCC pursuant to law.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .221**SYSTEM NAME:**

Professional Qualifications Records for Municipal Securities Principals, Municipal Securities Representatives, and U.S. Government Securities Associated Persons—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street SW, Washington,

DC 20219. Records stored in computerized files are maintained off premises at the National Association of Securities Dealers, 9513 Key West Avenue, Rockville, Maryland 20850.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Persons who are or seek to be associated with a municipal securities dealer or a government securities broker/dealer which is a national or District of Columbia bank, or a department, division, or subsidiary of any such bank, in the capacity of municipal securities principals, municipal securities representatives, or government security associated person.

CATEGORIES OF RECORDS IN THE SYSTEM:

These records contain identifying information, detailed educational and occupational histories, certain professional qualifications, examination information, disciplinary histories, and information concerning the termination of employment of individuals covered by the system. Identifying information includes name, address history, date and place of birth, and may include Social Security number.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Section 15B(c), 17 and 23 of the Securities Exchange Act of 1934 (15 U.S.C. 78o-4(c)(5), 78o-5(a)(1)(B)(ii), 78q and 78w); and the general authority of the National Banking Laws, 12 U.S.C. 1 *et seq.*

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

The information contained in these records may be subject to the following uses: (1) Referral to the appropriate governmental authority, whether Federal, State, local, or foreign, or to the appropriate self-regulatory organization, of such information as may indicate a violation or potential violation of law, regulation or rule. (2) Referral to the appropriate court, magistrate, or administrative law judge of such information as may be relevant to proceedings before any such court or judicial officer. (3) Disclosure of such information as may aid in the resolution of any action or proceeding: (a) In which the Federal securities or banking laws are at issue; (b) In which the propriety of any disclosure of information contained in the system is at issue; or (c) To which the Comptroller of the Currency or a past or present member of its staff is a party or otherwise involved in an official capacity. (4) Disclosure to a Federal, State, local, or foreign governmental authority, or to a self-regulatory organization, of such

information as may be necessary to obtain from such authority or organization additional information concerning the qualifications of an individual covered by the system. (5) Disclosure of such information as may be necessary to respond to a request from a Federal, State, local, or foreign governmental authority, or from a self-regulatory organization, for information needed in connection with the issuance of a license, granting of a benefit, or similar action by such authority or organization affecting an individual covered by the system. (6) Disclosure of such information as may be necessary to respond to any Congressional inquiry undertaken at the request of an individual covered by the system.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

Records are maintained in file folders and on magnetic media.

RETRIEVABILITY:

Records are indexed by name of individual, and by a numerical identifier assigned by the National Association of Securities Dealers

SAFEGUARDS:

File folders are stored in lockable metal cabinets and computer memory discs are accessed only by Authorized Personnel.

RETENTION AND DISPOSAL:

Records are updated periodically to reflect changes and maintained thirty (30) years.

SYSTEM MANAGER(S) AND ADDRESS:

Capital Markets Division, Office of the Chief National Bank Examiner, Office of the Comptroller of the Currency, 250 E Street SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Inquiries, including name, date and place of birth, should be addressed to the System Manager. Inquirers may be required to include a notarized statement attesting to identity.

RECORD ACCESS PROCEDURES:

Same as notification procedure.

CONTESTING RECORD PROCEDURES:

Same as notification procedure.

RECORD SOURCE CATEGORIES:

Those individuals, and municipal and U.S. Government securities dealers described in the section entitled "Categories of Individuals Covered by the System" provide the bulk of the information in the system. Additional

input is provided by Federal, State, local, and foreign governmental authorities, and by self-regulatory organizations, which regulate the securities industry.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .300

SYSTEM NAME:

Administrative Personnel System—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219. Components of this system are geographically dispersed throughout six (6) district offices. Contact District Offices for addresses of field offices within their jurisdiction. (See addresses listed in Appendix of OCC District Offices.)

CATEGORIES OF RECORDS IN THE SYSTEM:

Employment related administrative records. This system contains internal control type information about employees and contractual users of agency facilities and systems. The type of records found within this system are: List of individuals who are issued building passes, credit or identification cards, parking permits, accountable property and travel documents; lists of individuals who perform specialized duties (building wardens, relocation teams, safety officers, and civil defense officers); reports about individuals who are involved in accidents while employed, and authorization codes for use of internal computer systems.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

General authority — 12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations and Executive Orders. Individuals wishing to obtain more detailed information should write to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

A record in this system may be disclosed as a routine use to: (1) The Office of Personnel Management (OPM) for the purpose of complying with regulations issued by the OPM; (2) the General Services Administration (GSA) for the purpose of complying with regulations issued by the GSA; (3) the Department of Labor for the purpose of investigating claims for work related injuries.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

File folders, magnetic tape, lists and forms.

RETRIEVABILITY:

Records are retrieved primarily by name (filed alphabetically by category of records); secondary identifiers are used to assure accuracy (date of birth, social security number or employee identification number).

SAFEGUARDS:

Records are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures.

RETENTION AND DISPOSAL:

Personnel-type records are retained for thirty (30) days after termination. All other records are retained and destroyed in accordance with published disposition and retention schedules. Individuals wishing more detailed information should write to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

SYSTEM MANAGER(S) AND ADDRESS:

Senior Deputy Comptroller for Administration, 250 E Street, SW, Washington, DC 20219; Director, Multinational and Regional Bank Supervision, 250 E Street, SW, Washington, DC 20219 (multinational records only).

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

RECORD ACCESS PROCEDURES:

Access to a record can be requested by writing to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Submit requests to the Disclosure Officer at the above address.

RECORD SOURCE CATEGORIES:

The information in these records was provided by or verified by the subject of the record, employers and co-workers.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .310**SYSTEM NAME:**

Financial System—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency and Financial Management Division, 250 E Street, SW, Washington, DC 20219. Components of this system are geographically dispersed throughout six (6) district offices as well as Washington, DC. (See addresses listed in appendix of OCC District Offices.)

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Present and past employees of the Office of the Comptroller of the Currency.

CATEGORIES OF RECORDS IN THE SYSTEM:

This system contains payroll and disbursement type records including: Travel records, debt information, and information about employees. The types of records found within this system are: Award, allowance, salary, fund advancement justification and disbursement records; personnel information; leave information; payroll deductions for taxes, life and health insurance, financial institutions, retirement funds including the Federal Employees Retirement System and the Civil Service Retirement System, Thrift Savings Plan, and charitable groups.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

General authority — 12 U.S.C. 1, 5 U.S.C. 301. Individuals wishing to obtain more detailed information should write to the Deputy Comptroller, Communications, Office of the Comptroller of the Currency, Communications, 250 E Street, SW, Washington, DC 20219.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

These records and information in these records may be used to: (1) Furnish the Internal Revenue Service and other jurisdictions which are authorized to tax the employee's compensation, with wage and tax information in accordance with a withholding agreement with the Department of the Treasury pursuant to 5 U.S.C. 5516, 5517, and 5520; (2) furnish the Office of Personnel

Management (OPM) with data to update the Central Personnel Data File and other statistical reports as required for the purpose of complying with regulations issued by OPM; (3) furnish another Federal agency information to effect interagency salary offset; to furnish another Federal agency information to effect interagency administrative offset, except that addresses obtained from the Internal Revenue Service shall not be disclosed to other governmental agencies; and to furnish a debt collection agency information for debt collection services. Current mailing addresses acquired from the Internal Revenue Service are routinely released to debt collection agencies for collection services; (4) the General Services Administration (GSA) for the purpose of complying with regulations issued by GSA; (5) the General Accounting Office for the purpose of conducting audits; (6) entities designated to receive payroll deductions; (7) Department of Labor to determine eligibility for unemployment benefits; (8) disclose information to a court, magistrate, or administrative tribunal in the course of presenting evidence, including disclosures to opposing Counsel or witnesses in the course of civil discovery, litigation or settlement negotiations in response to a subpoena, or in connection with criminal law proceedings.

DISCLOSURE TO CONSUMER REPORTING AGENCIES:

Disclosures pursuant to 5 U.S.C. 552(b)(12) and section 3 of the Debt Collection Act of 1982; Debt information concerning a government claim against an individual is also furnished in accordance with 5 U.S.C. 552a(b)(12) and section 3 of the Debt Collection Act of 1982 (Pub. L. 97-365), to consumer reporting agencies to encourage repayment of an overdue debt.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:**STORAGE:**

Maintained in file folders, magnetic media including computer discs and tapes, microfiche, and hard copy printout. Disbursement records are stored at the Federal Records Center.

RETRIEVABILITY:

Records are retrieved primarily by name (filed alphabetically), or an assigned identification number (Social Security Number or an assigned identification number). Secondary identifiers are used to assure accuracy of data assessed, including date of birth,

Social Security number, or employee identification number.

SAFEGUARDS:

File folders are stored in lockable cabinets or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures. Computer records are accessed only by authorized personnel.

RETENTION AND DISPOSAL:

Payroll and disbursement records are retained by the Office in accordance with the Comptroller of the Currency's Comprehensive Records Disposition Schedule. Individuals wishing further information should write to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

SYSTEM MANAGER(S) AND ADDRESS:

Deputy Comptroller for Systems and Financial Management, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in this system or gain access to records maintained in this system or contest any records contained in the system must submit a request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed; and (4) Provide at least two items of secondary identification (date of birth, employee identification number, dates of employment or similar information).

RECORD ACCESS PROCEDURES:

Submit request to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

See Record access procedures above.

RECORD SOURCE CATEGORIES:

The information contained in these records is provided by or verified by the subject of the record and OCC employees.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .320**SYSTEM NAME:**

General Personnel System—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street, SW,

Washington, DC 20219. Components of this system are geographically dispersed throughout six (6) District Offices. (See addresses listed in Appendix of OCC District Offices.)

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Present and past employees and applicants for employment.

CATEGORIES OF RECORDS IN THE SYSTEM:

Employment related biographical and performance material. This category of records is typically identified as personnel type records and contains data about employees and applicants. The records found within this category include: Performance evaluations; educational, employment, medical and military histories; employee benefit applications, forms and claims; travel and relocation claims; locator and emergency contact information; dependent and beneficiary information; physical and qualification descriptions; financial interest statements; personal and family assets, liabilities and other interests in business enterprises.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

General authority — 12 U.S.C. 1 and 9, 5 U.S.C. 301. Specific authority is derived from a number of laws, regulations and Executive Orders. Individuals wishing to obtain more detailed information should write to the Deputy Controller, Communications, 250 E Street, SW, Washington, DC 20219.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

A record in this system may be disclosed as a routine use to: (1) The Office of Personnel Management (OPM) for the purpose of complying with regulations issued by the OPM; (2) the Veterans Administration (VA) for the purposes of determining veterans preference and pension benefits; (3) the Equal Employment Opportunity Commission for the purpose of providing minority information and case reports; (4) respond to requests from labor organizations for names of employees and identifying information; (5) the Department of Labor for the purpose of reporting health and safety matters; (6) the General Services Administration (GSA) for the purpose of complying with regulations issued by GSA; (7) the Merit Systems Protection Board for the purpose of providing necessary information relating to employee appeals; (8) the Department of Labor to provide information relative to Unemployment Compensation and OWCP claims.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

File folders, magnetic media, microfiche, printouts, lists and forms.

RETRIEVABILITY:

Records are retrieved primarily by name (filed alphabetically by category of records). Secondary identifiers are used to assure accuracy (date of birth, Social Security number or employee identification number).

SAFEGUARDS:

Records are stored in lockable cabinets, electronic data bases requiring security access codes or secure rooms; access is limited to officials who have a need for the information; employees are trained to make only authorized disclosures. Records maintained with vendors are under contractual obligation to maintain confidentiality.

RETENTION AND DISPOSAL:

Most personnel-type records are retained for thirty (30) days after termination, at which time they are transferred to the Federal Records Center or destroyed. Some records are retained for varying periods of time in accordance with Federal Personnel Manual Regulations. Individuals wishing more detailed information should write to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

SYSTEM MANAGER(S) AND ADDRESS:

Deputy Comptroller for Resource Management, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in this system of records, or gain access to records maintained in this system must submit a written request containing the following elements: (1) Identify the record system; (2) Identify the category and type of records sought; (3) Indicate the location of the Comptroller of the Currency office where last employed or where application for employment was made; (4) Provide at least two secondary identifications (date of birth, employee identification number, dates of employment or similar information).

RECORD ACCESS PROCEDURES:

Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

CONTESTING RECORD PROCEDURES:

Submit requests to the Disclosure Officer, Communications, 250 E Street, SW, Washington, DC 20219.

RECORD SOURCE CATEGORIES:

The information contained in these records was provided or verified by the subject of the record, employers, co-workers, friends, neighbors and associates listed as references, financial and educational institutions.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

None.

Treasury/Comptroller .500

SYSTEM NAME:

Chief Counsel's Management Information System—Treasury/Comptroller.

SYSTEM LOCATION:

Office of the Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219. Physical components of this system are also located in district offices.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Individuals who have requested information or action from the Law Department of the Comptroller of the Currency and individuals referenced in documents received by the Law Department in the course of the Agency's bank supervisory functions.

CATEGORIES OF RECORDS IN THE SYSTEM:

The Chief Counsel's Management Information System consists of one database: The Work Assignment and Control System; Information contained in the system includes, inter alia, name of the author and/or correspondent and the organization to which the author/correspondent belongs, the date of the correspondence, the city, state and region in which correspondent organization is located, the work type, the bank involved, and the subject.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

12 U.S.C. 1, 12 U.S.C. 9, 12 U.S.C. 481, 5 U.S.C. 301.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSE OF SUCH USES:

These records and information in the records may be used to: (1) Disclose pertinent information to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violations of, or for enforcing or implementing, a statute, rule, regulation, order, or license, where the disclosing agency becomes aware of an indication of a violation or potential violation of civil or criminal law or regulation; (2) disclose information to a Federal, State, or local agency, maintaining civil, criminal or other relevant enforcement information or

other pertinent information, which has requested information relevant to or necessary to the requesting agency's or the bureau's hiring or retention of an individual, or issuance of a security clearance, license, contract, grant, or other benefit; (3) disclose information to a court, magistrate, or administrative tribunal in the course of presenting evidence, including disclosures to opposing counsel or witnesses in the course of civil discovery, litigation, or settlement negotiations, in response to a subpoena, or in connection with criminal law proceedings; (4) provide information to third parties during the course of an investigation to the extent necessary to obtain information pertinent to the investigation.

POLICIES AND PRACTICES FOR STORING, RETRIEVING, ACCESSING, RETAINING, AND DISPOSING OF RECORDS IN THE SYSTEM:

STORAGE:

These records are maintained in computer data banks, computer tapes and printouts, and in file cabinets.

RETRIEVABILITY:

All records are indexed on a variety of data fields including correspondent name and location, bank name and location, subject, statutory provisions, and date.

SAFEGUARDS:

All records are indexed through computer indices. Only employees within the Law Department with proper user identification and passwords have access to the computer banks. Employees are trained to make authorized disclosures only to those individuals who have a need for the information. Passwords and user IDs are changed frequently.

RETENTION AND DISPOSAL:

Records are generally maintained in the on-line data bank until it is determined that on-line access is not required. Thereafter the records are archived in an off-line storage system. Records in file cabinets are maintained indefinitely.

SYSTEM MANAGER(S) AND ADDRESS:

Executive Assistant to the Chief Counsel, Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

NOTIFICATION PROCEDURE:

Individuals wishing to be notified if they are named in the system or to gain access to records maintained in the system must submit a request containing the following elements: (1) Identity of the record system; (2) identity of the category type of records

sought; (3) the location of the Comptroller of the Currency Office where the record might be stored; and (4) at least two items of secondary identification (date of birth, employee identification number, dates of employment, or similar information). Submit request to the Disclosure Officer, Communications, 250 E Street, SW., Washington, DC 20219. The system contains records which are exempt under 5 U.S.C. 552a (j)(2) or 552a (k)(2).

RECORD ACCESS PROCEDURES:

Same as Notification.

CONTESTING RECORD PROCEDURES:

Same as Notification. Requests should be submitted to the Disclosure Officer, Communications, Comptroller of the Currency, 250 E Street, SW, Washington, DC 20219.

RECORD SOURCE CATEGORIES:

Materials received by the Law Department from various sources including, inter alia, persons involved in sending inquiries to the Law Department and documents received by the Law Department in the course of the Agency's bank supervisory function. The Chief Counsel's Management Information System contains certain records which have been designated as exempt from certain provisions of the Privacy Act.

EXEMPTIONS CLAIMED FOR THE SYSTEM:

This system is exempt from 5 U.S.C. 552a (c)(3)(4), (d)(1)(2)(3)(4), (e)(1)(2)(3)(4), (G), (H), and (I), (e)(5) and (8), (f) and (g) of the Privacy Act pursuant to 5 U.S.C. 552a (j)(2) and (k)(2).

Appendix A - Addresses of OCC District Offices:

Northeastern District: New York District Office, 1114 Avenue of the Americas, Suite 3900, New York, NY 10036. (212) 819-9860.

Southeastern District: Atlanta District Office, Marquis One Tower, Suite 600, 245 Peachtree Center Ave., N.E., Atlanta, GA 30303. (404) 659-8855.

Central District: Chicago District Office, One Financial Place, Suite 2700, 44 South LaSalle Street, Chicago, IL 60605. (312) 663-8000.

Midwestern District: Kansas City District Office, 2345 Grand Avenue, Suite 700, Kansas City, MO 64108-2683. (816) 556-1800.

Southwestern District: Dallas District Office, 1600 Lincoln Plaza, 500 North Akard, Dallas, TX. (214) 720-0656.

Western District: San Francisco District Office, 50 Fremont Street, Suite 3900, San Francisco, CA 94105. (415) 545-5900.

BILLING CODE: 4810-33-F

Bureau of Engraving and Printing

Treasury/BEP .002

SYSTEM NAME:

Personal Property Claim File—Treasury/BEP.

SYSTEM LOCATION:

Bureau of Engraving and Printing, 14th and C Streets, SW, Washington, DC 20228.

CATEGORIES OF INDIVIDUALS COVERED BY THE SYSTEM:

Civilian officers and employees of the Bureau of Engraving and Printing, former employees and their survivors having claim for damage to or loss of personal property incident to their service.

CATEGORIES OF RECORDS IN THE SYSTEM:

Contains investigative and adjudication documents relative to personal property damage claim.

AUTHORITY FOR MAINTENANCE OF THE SYSTEM:

Military Personnel and Civilian Employees' Claims Act of 1964, as amended, Public Law 88-558.

ROUTINE USES OF RECORDS MAINTAINED IN THE SYSTEM, INCLUDING CATEGORIES OF USERS AND THE PURPOSES OF SUCH USES:

These records and information in the records may be used to: (1) Disclose pertinent information to appropriate Federal, State, local or foreign agencies responsible for investigating or prosecuting the violations of, or for enforcing or implementing, a statute, rule, regulation, order, or license, where the disclosing agency becomes aware of an indication of a violation or potential violation of civil or criminal law or regulation; (2) disclose information to a Federal, State, or local agency, maintaining civil, criminal or other relevant enforcement information or other pertinent information, which has requested information relevant to or necessary to the requesting agency's or the bureau's hiring or retention of an individual, or issuance of a security clearance, license, contract, grant, or other benefit; (3) disclose information to a court, magistrate, or administrative tribunal in the course of presenting evidence, including disclosures to opposing counsel or witnesses in the course of civil discovery, litigation, or settlement negotiations, in response to a subpoena, or in connection with criminal law proceedings; (4) provide information to a congressional office in response to an inquiry made at the request of the individual to whom the record pertains; (5) provide information to the news media in accordance with guidelines contained in 28 CFR 50.2